

11-26-01

A

11/14/01
J1041 U.S. PTO

J1046 U.S. PTO
09/992516
11/14/01

ADLER & ASSOCIATES

P.O. BOX 710509
HOUSTON, TEXAS 77271-0509

WRITER'S DIRECT DIAL
(713)-270-5391
WRITER'S DIRECT FACS.
(713)- 270-5361
E. MAIL: BADLER1@houston.rr.com

INTELLECTUAL PROPERTY LAW
(PATENT, BIOTECHNOLOGY, COMPUTER,
TRADEMARK & TRADE SECRET LAW)

November 14, 2001

Docket No.: D6431

The Honorable Commissioner of Patents
BOX PATENT APPLICATION
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the non-provisional patent application which claims benefit of priority of provisional application USSN 60/248,247, filed November 14, 2000, now abandoned, in the:

Name of: **Shafer, David A.**
For: *Expression Miniarrays and Uses Thereof*

CLAIMS AS FILED

Fee for:	Small entity	Amount
Basic fee	\$ 3 7 0	\$ 3 7 0
Each independent claim in excess of 3 (1)	\$ 42	\$ 42
Each claim excess of 20 (34)	\$ 9	\$ 3 0 6
Multiple dependent claim		
TOTAL FILING FEE		\$ 7 1 8

☒ Please charge my Deposit Account No. 07-1185 in the total amount of the filing fee and the assignment recordation fee if any.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1185.

☒ Any additional fees under 37 CFR 1.16.

☒ Any application processing fees under 37 CFR 1.17.

☒ Small Entity Status

Applicant hereby claims small entity status under 37 CFR 1.27(b) as an **independent inventor**.

☒ Relate Back--35 U.S.C. 119(e)

This non-provisional application claims benefit of priority of provisional application USSN 60/248,247, filed November 14, 2000, now abandoned.

☐ Assignment

The application is assigned by the inventors to the_____.

☐ Sequence Listing

The sequence listing is enclosed, including a paper copy, a computer readable form and a compliance letter indicating that the sequence listing on the paper copy and the disk are one and the same.

☒ Power of Attorney

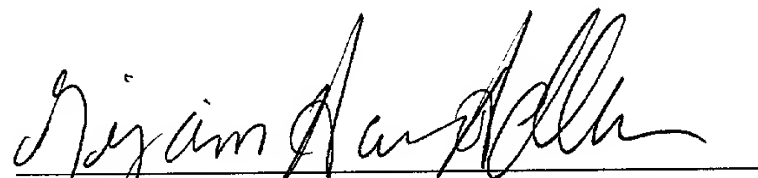
☒ is attached.

☒ Address all future communications to:

Benjamin Aaron Adler
ADLER & ASSOCIATES
8011 Candle Lane
Houston TX 77071
(713) 271-5391
BADLER1@houston.rr.com

☒ Two photocopies of this sheet are enclosed.

Date: Nov 14, 2001


Benjamin Aaron Adler, Ph.D., J.D.
Counsel for Applicant
Registration No. 35,423

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shafer, David A.

§ ART UNIT:

FILED: November 14, 2001

§

§

§

EXAMINER:

SERIAL NO.:

§

§

§

FOR: Expression Miniarrays and
Uses Thereof

§

DOCKET:

D 6 4 3 1

The Honorable Commissioner of Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Dear Sir:

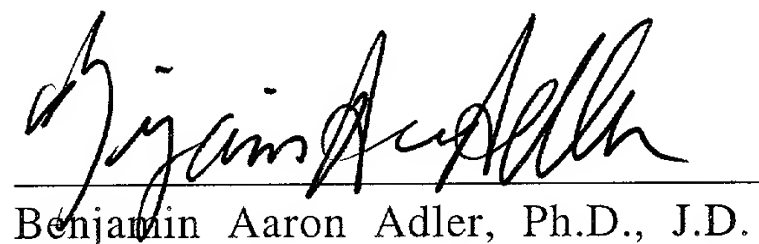
I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EL868052612US in an envelope addressed to: The Honorable Commissioner of Patents, BOX PATENT APPLICATION, Washington, D.C. 20231, on the date indicated below:

- 1) Non-provisional application + 7 sheets of drawings;
- 2) Transmittal Letter;
- 3) One (1) Combined Declaration and Power of Attorney;
- 4) Return postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date: Nov 14, 2001


Benjamin Aaron Adler, Ph.D., J.D.

ADLER & ASSOCIATES
8011 Candle Lane
Houston, Texas 77071
(713) 270-5391
BADLER1@houston.rr.com